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# Performance Assessment of RNA Sequencing and Expression Arrays for Transcriptome Analysis in Cancer Research

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Fonds National de la  
Recherche Luxembourg

## Part I. Comparison of RNA-seq and microarray performance

- Similar and specific features of the platforms
- Protein coding and long non coding genes
- Gene expression analysis and analysis of alternative splicing

## Part II. Independent Component Analysis (ICA) in transcriptomics

- The brief introduction to the method
- Deconvolution of biological signals and cell subtypes
- Potential for patient diagnostics in future

# Part I. Comparison of RNA-seq and microarray performance

Based on Nazarov et al *BMC Genomics*, 2017;18(1):443.

Nazarov et al. *BMC Genomics* (2017) 18:443  
DOI 10.1186/s12864-017-3819-y

BMC Genomics

RESEARCH ARTICLE Open Access

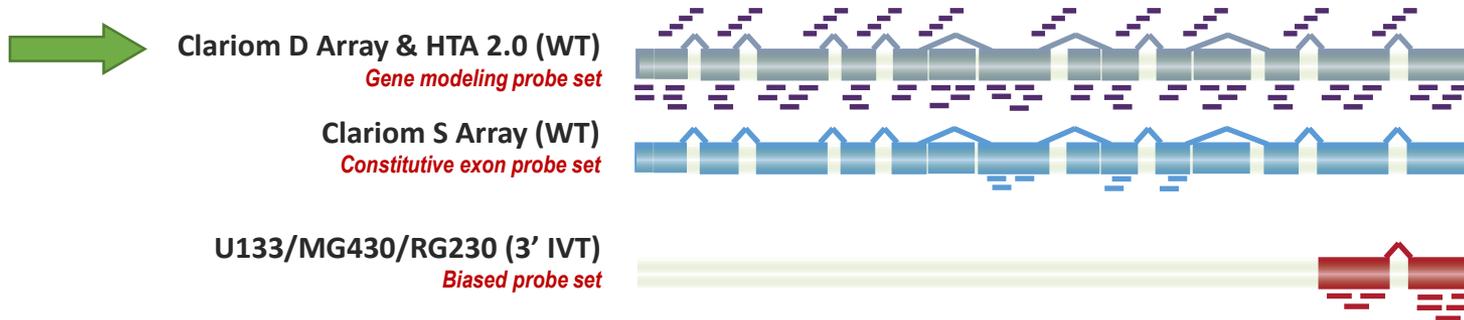
 CrossMark

RNA sequencing and transcriptome arrays analyses show opposing results for alternative splicing in patient derived samples

Petr V. Nazarov<sup>1\*</sup>, Arnaud Muller<sup>1</sup>, Tony Kaoma<sup>1</sup>, Nathalie Nicot<sup>1</sup>, Cristina Maximo<sup>1</sup>, Philippe Birembaut<sup>2</sup>, Nhan L. Tran<sup>3</sup>, Gunnar Dittmar<sup>1</sup> and Laurent Vallar<sup>1</sup>

Supported by Fond National de la Recherche Luxembourg (FNR) with the **grant C08/BM/05** and by the Luxembourg Ministry of Higher Education and Research. Integrated Biobank of Luxembourg (IBBL) sponsored RNA-seq experiments and shared their computational infrastructure for RNA-seq analysis.

Majority of comparisons in literature claim that RNA-seq outperforms microarrays. However, comparing RNA-seq with old 3' microarrays... not too fair. Currently more advanced arrays are available: HTA and its successor Clariom.

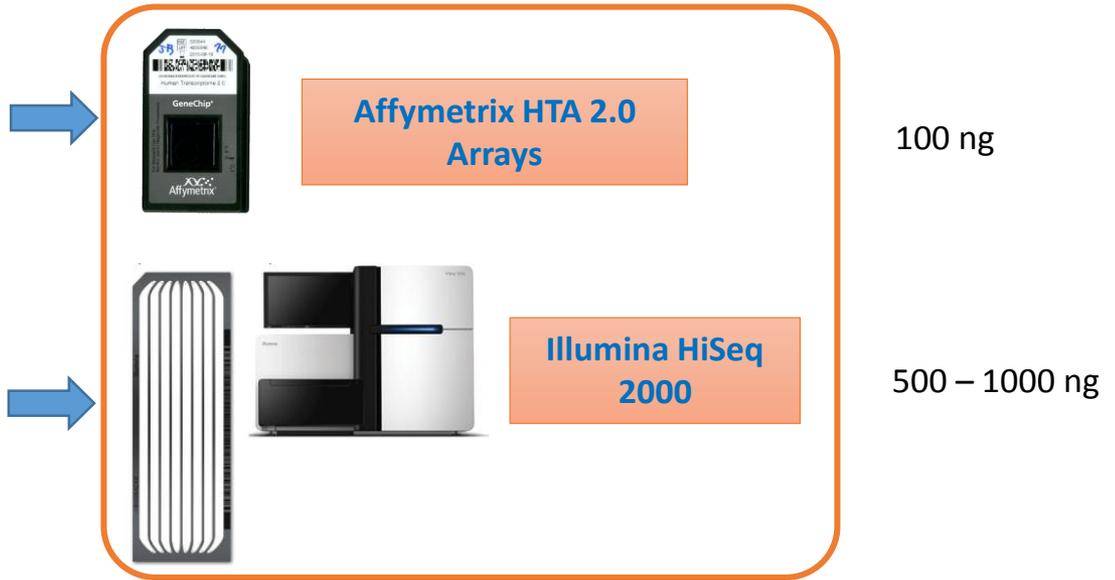
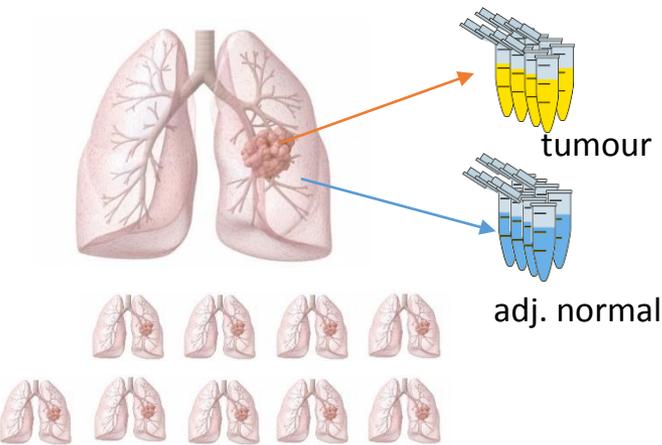


- How similar are the results obtained by last version arrays and RNA-seq ?
  - protein coding / other biotypes, genes / exons
- What are the differences between platforms?
- Which platform should one use

**Research includes: 1 cancer, 9 patients, 18 samples, 2 platforms**

9 patients with lung  
squamous cell carcinoma  
(clinical research study)

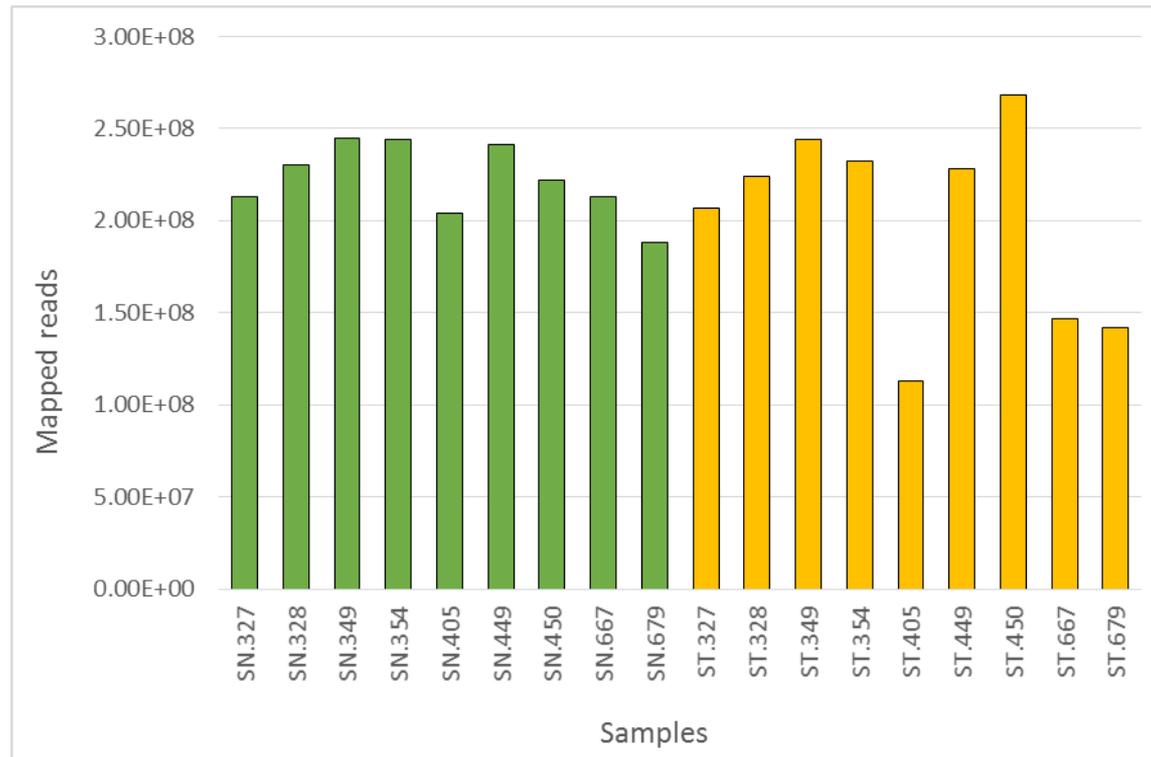
18 samples:



Unité INSERM, University of Reims  
Prof. Ph. Birembaut

- Total RNA extracted using miRNeasy Mini Kit
- **Arrays:** GeneChip® WT Plus Reagent Kit
- **Sequencing:** TruSeq total RNA Sample Preparation Kit v.1.0, polyA selection

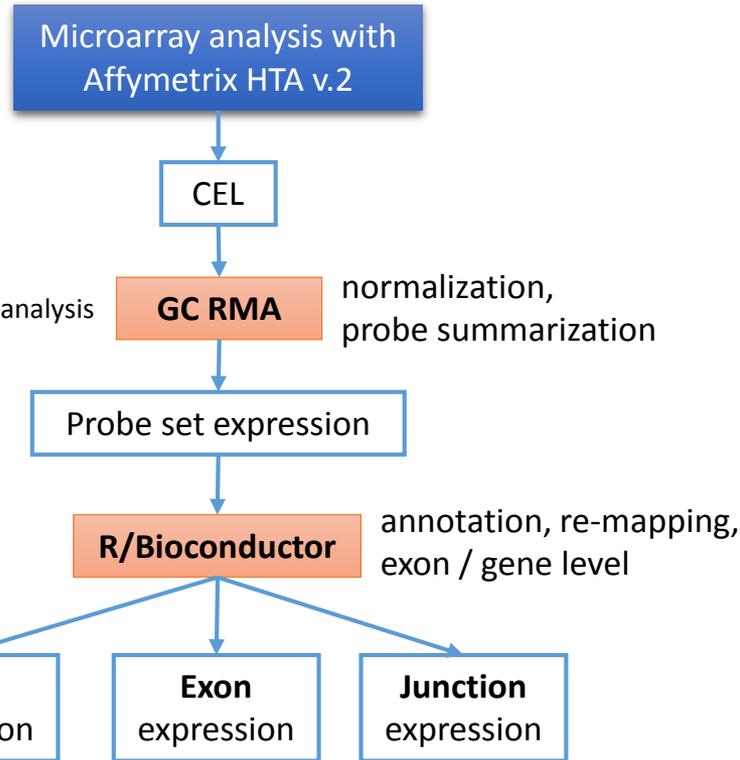
RNA-seq result: 120-280 M paired reads with 77 bp/read.



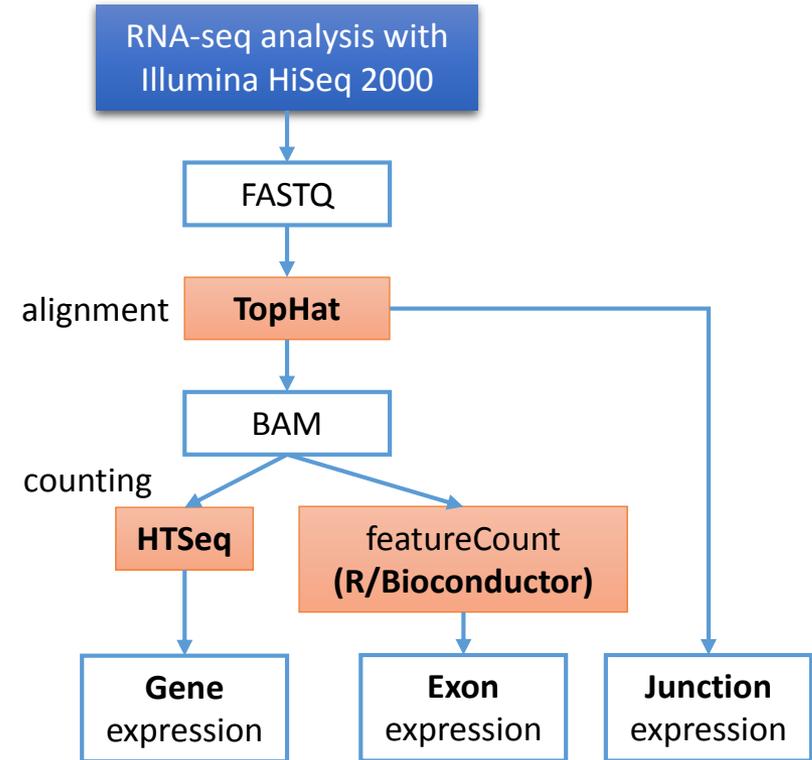
**Figure.** Number of mapped reads after RNA-seq analysis of the samples, including *TopHat* alignment. In general, normal tissues (green) show more reproducible mapping results than tumours (yellow).

# Analysis Overview

## Data acquisition



... analysis



... normalization and analysis

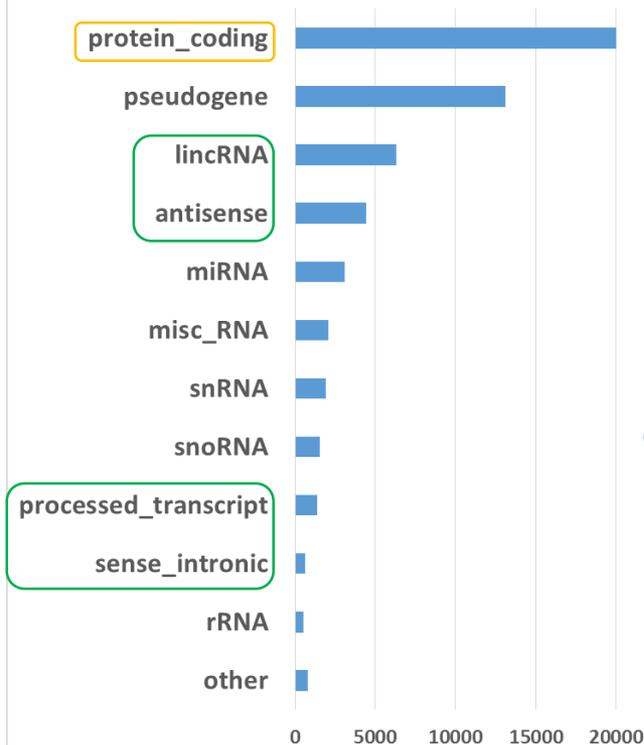
### Important:

in order to compare the platforms, we re-mapped Affymetrix probesets onto the Ensembl 69 genome using GenomicRanges package of R.

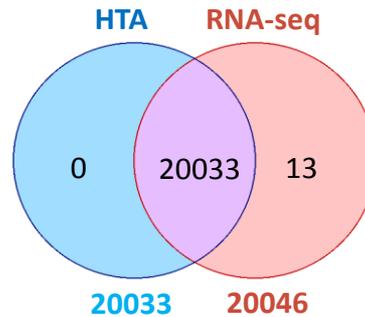
# Feature Lists

Overlap of features is high

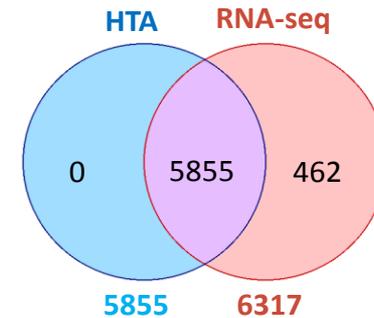
Main biotypes (Ensembl 69)



Protein coding genes

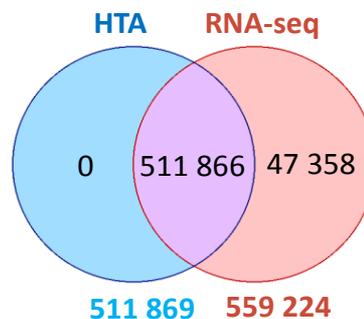


lncRNA genes

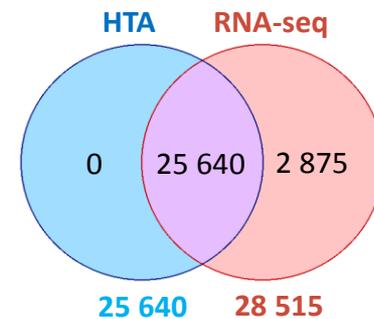


Good overlap of the genes and exons

Protein coding exons



lncRNA

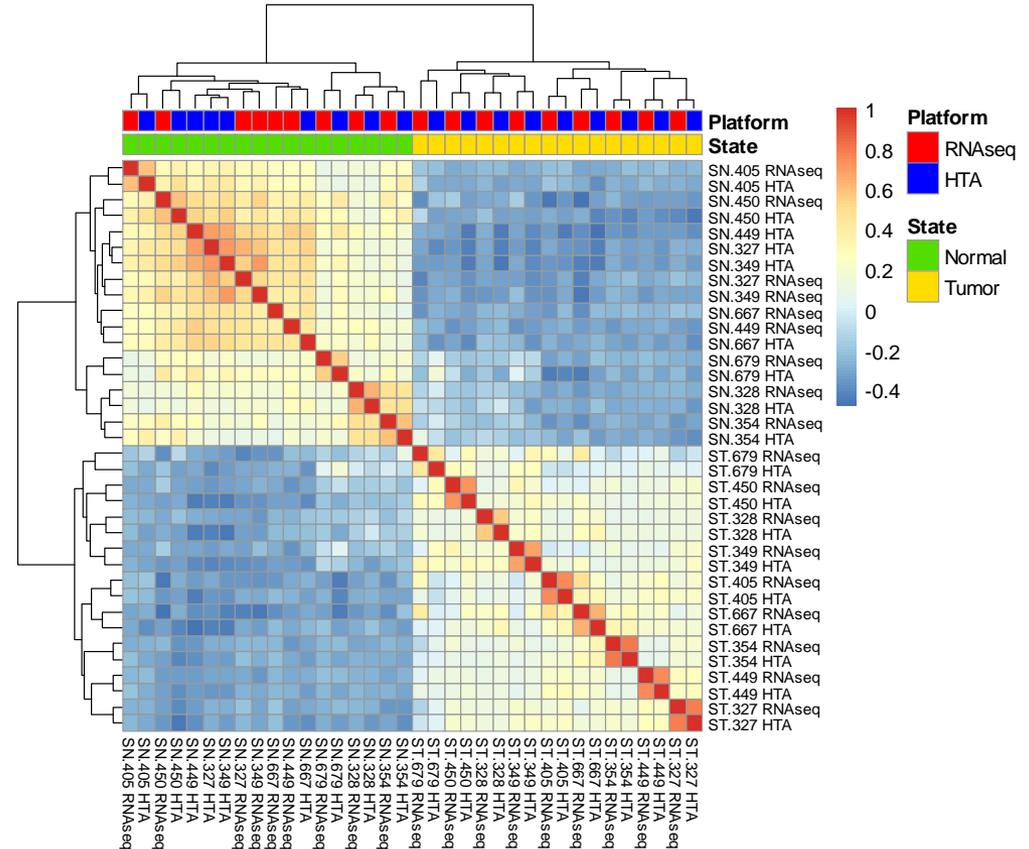
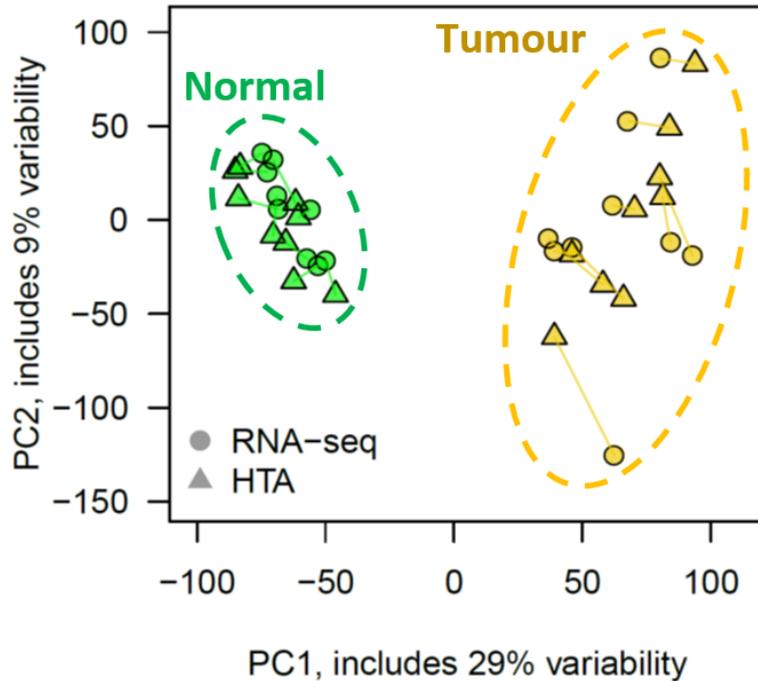


**Important:**

in order to compare the platforms, we re-mapped Affymetrix probesets onto the Ensembl 69 genome using GenomicRanges package of R.

## Coding genes: removable platform effect

Linearly scaled/centered data

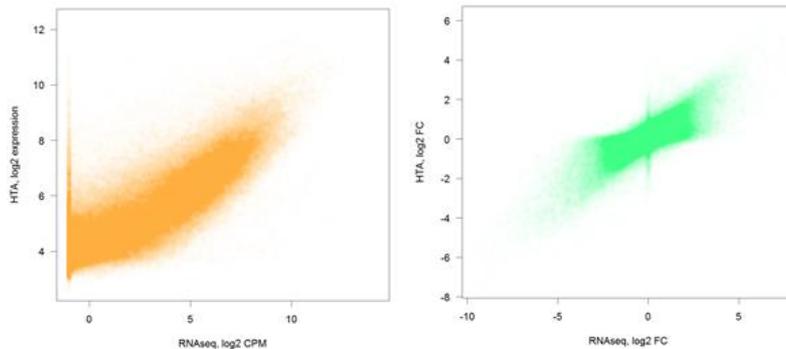


- Strong effect of tumour/normal condition
- Platform-specific effect can be reduced by simple centring-scaling (standardization)
- lncRNA show similar behavior with, with higher variability

## Coding genes are more correlated than lncRNA

Clinical research study

Correlation	coding mRNA	lncRNA
log signal	0.76	0.319
logFC	0.743	0.349



Scatter plots showing general tendency in RNA-seq and HTA protein coding gene expressions (**orange**) and logFC (**green**). Scatter plots are built by overlap of all available data for SCC patients.

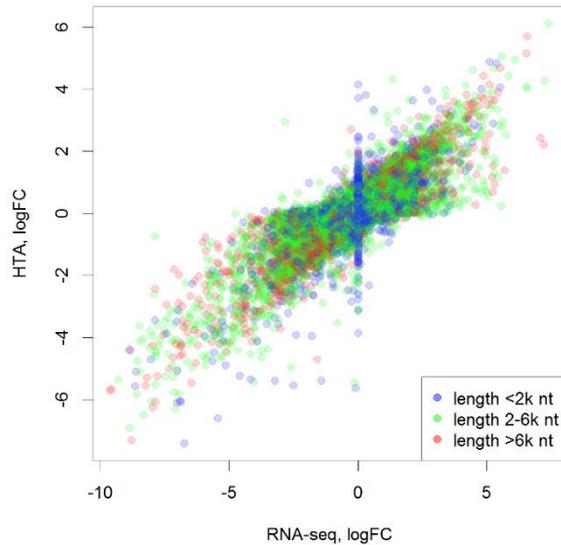
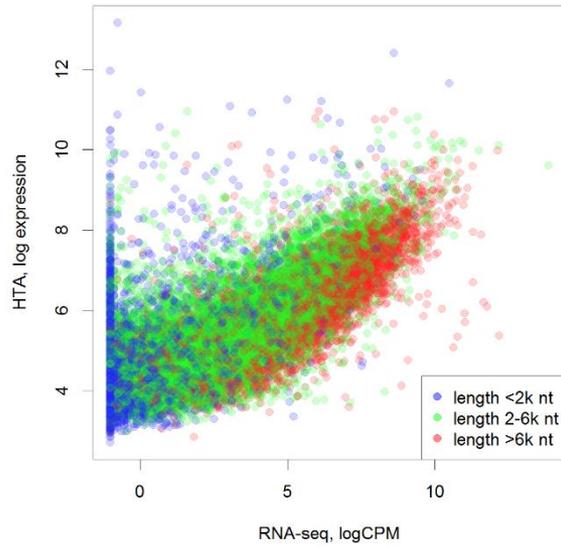
- Correlation for protein coding genes is in range of values reported in literature
- lncRNA are not so nicely correlated. Reason? ↓

# Correlations

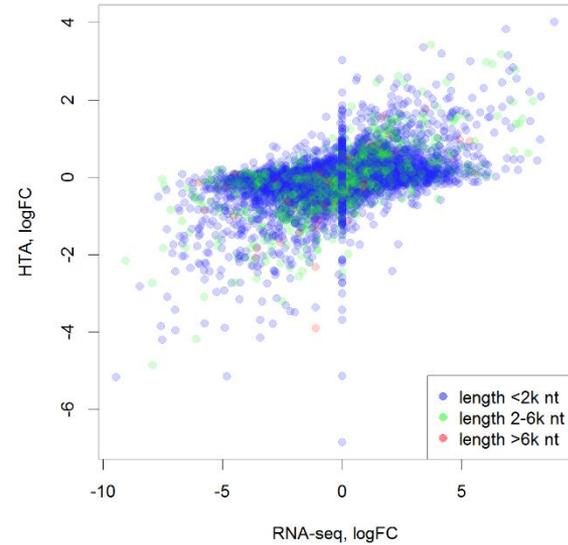
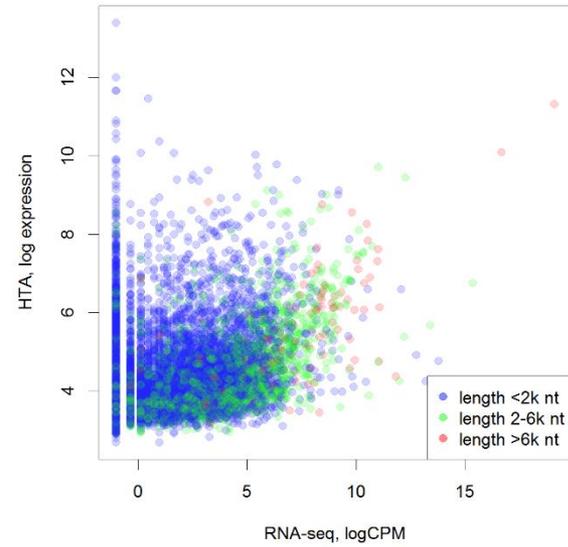
Gene length matters!

Clinical research study

Protein coding



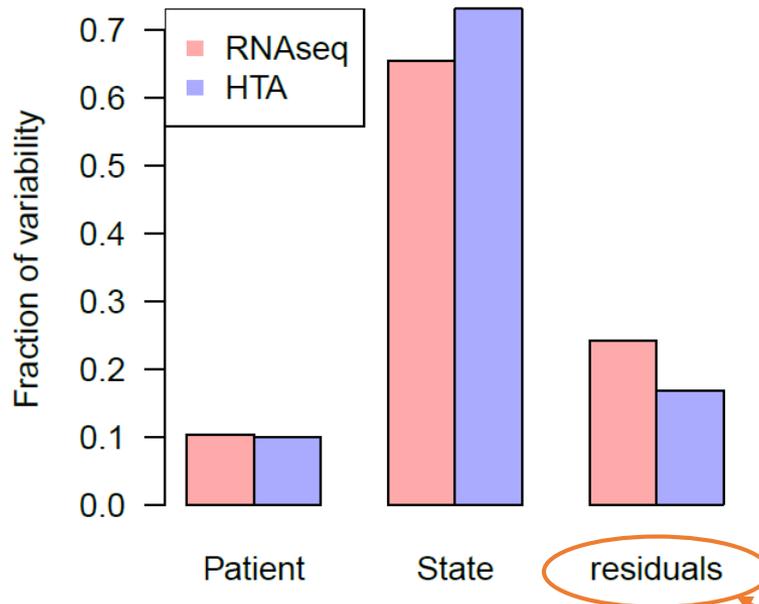
lncRNA



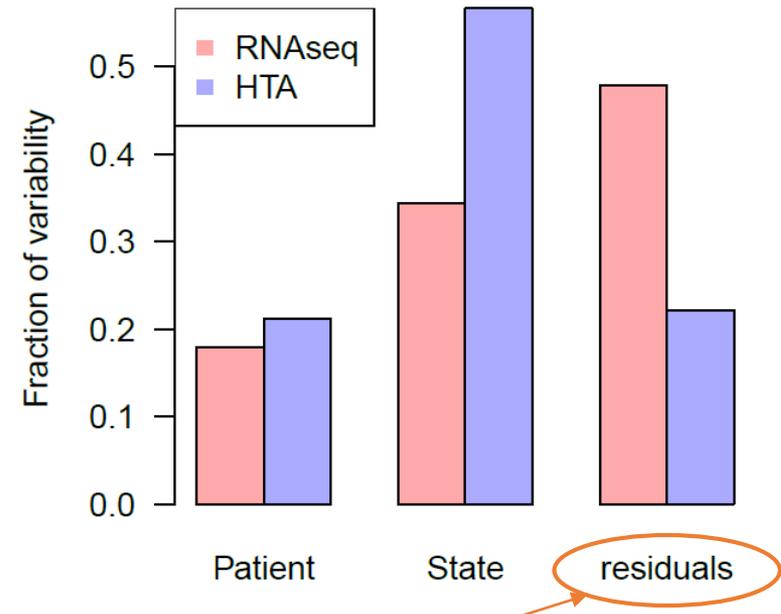
## Explained variability in the data: better for HTA

Clinical research study

### protein coding



### lncRNA



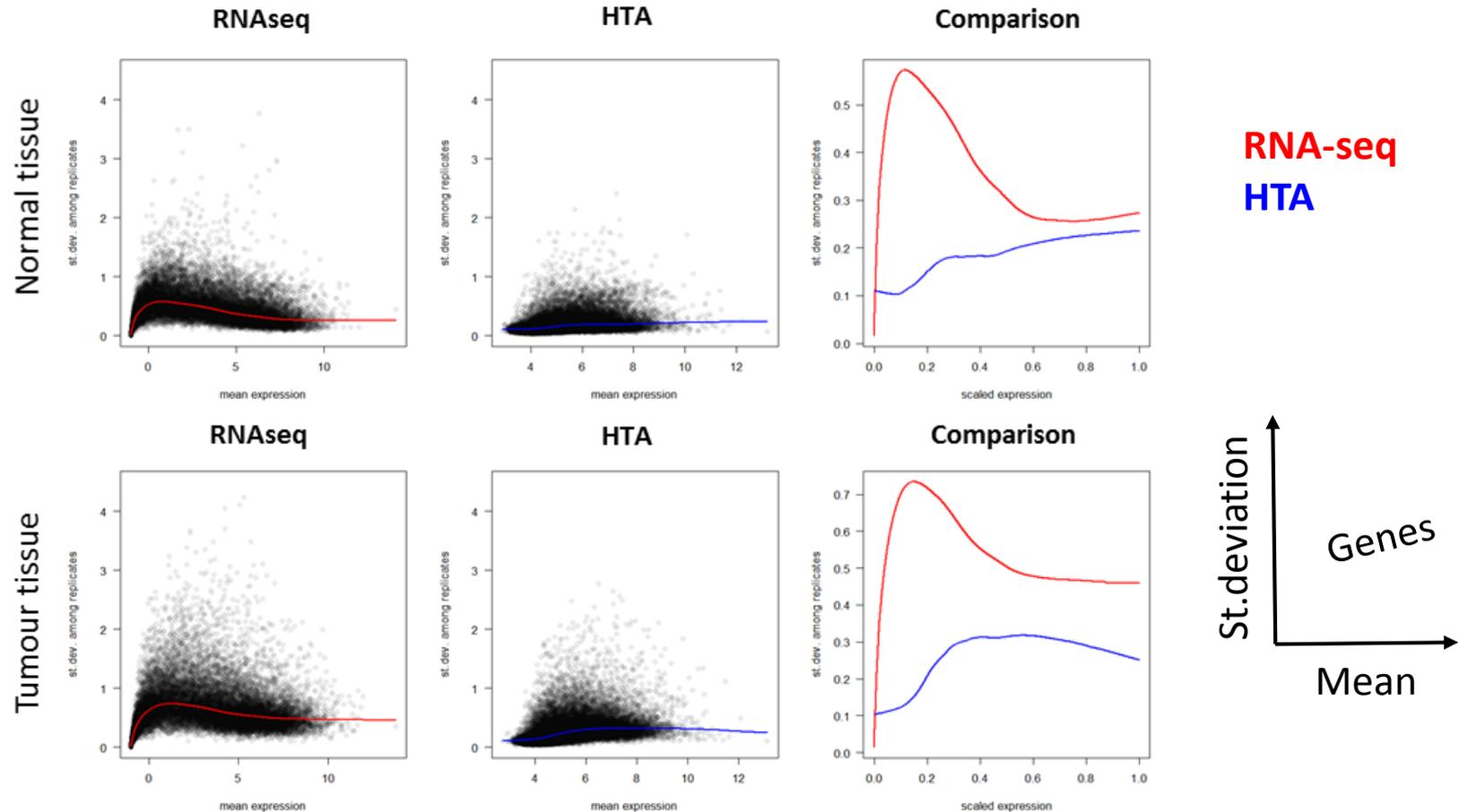
unexplained variability

- HTA show less unexplained variability and higher cancer-associated variability

Principal Variance Component Analysis (PVCA) was described in:

Li, J., Bushel, P., Chu, T.-M., and Wolfinger, R.D. (2009) Principal Variance Components Analysis: Estimating Batch Effects in Microarray Gene Expression Data, Batch Effects and Noise in Microarray Experiments: Sources and Solutions, ed. A. Scherer, John Wiley & Sons.

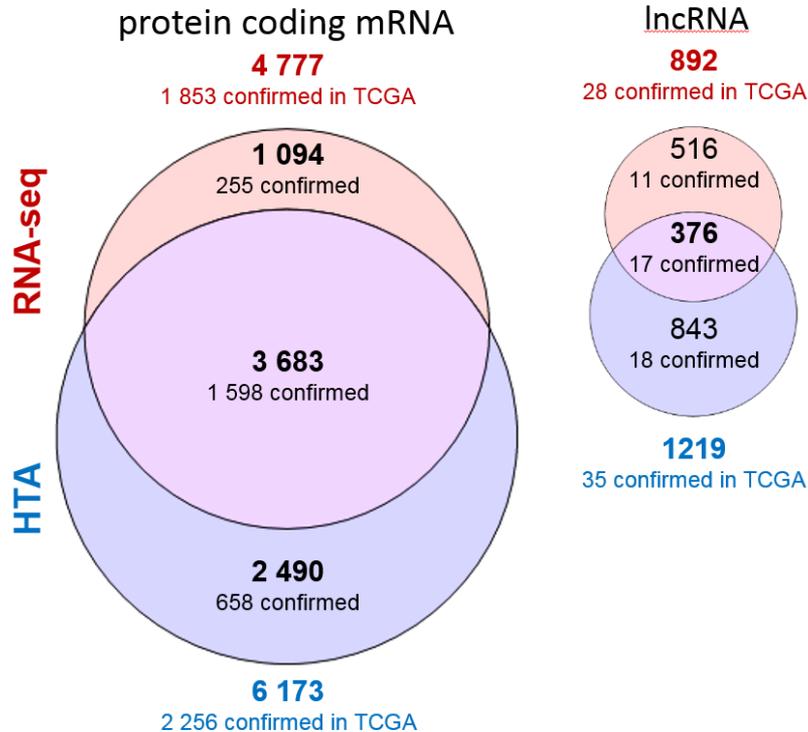
## St.deviation in biological replicates is higher in RNA-seq



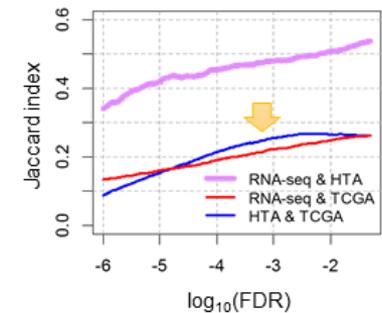
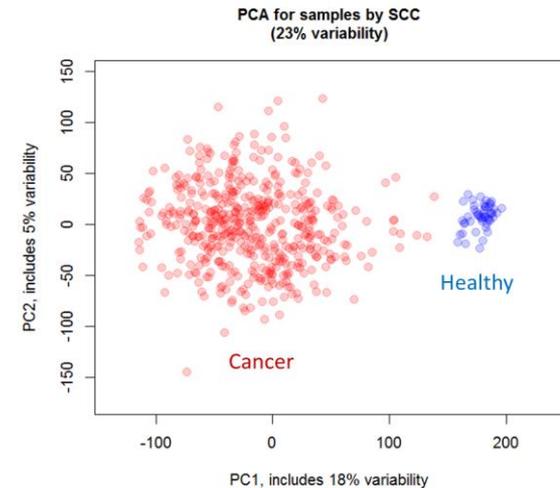
Variability between biological replicates is higher for RNA-seq data for both normal and tumour samples, especially for lowly abundant transcripts

# Differential Expression Analysis

## DE gene lists vs TCGA: similar level of confirmation



### TCGA LUSC data series: 502 -vs- 31



- More DEG for HTA with FDR<0.01
- Comparing with TCGA – similar confirmation rate
- Overlapping genes: 1598 of 3683 are found in the top 25% of TCGA

## How to compare “pears” with “apples”?

We proposed considering only significant genes, in order to make the analysis more fair.

Measure	RNA-seq	HTA
Lower limit of log expression	-0.80	3.83
Higher limit of log expression	9.20	8.89
<b>Dynamic range of log expression</b>	<b>10.00</b>	<b>5.06</b>
Lower limit of absolute logFC	0.67	0.17
Higher limit of absolute logFC	7.55	3.58
<b>Dynamic range of absolute logFC</b>	<b>6.87</b>	<b>3.41</b>

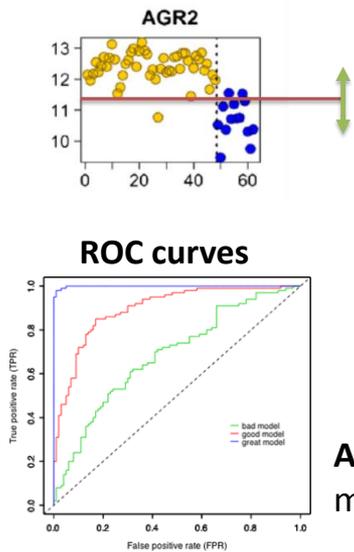
*Values are in  $\log_2$*

- As expected, **dynamic range of RNA-seq is higher**. But taking into account that HTA allow for detecting genes with **smaller fold change** - it still can be related to difference in scales.

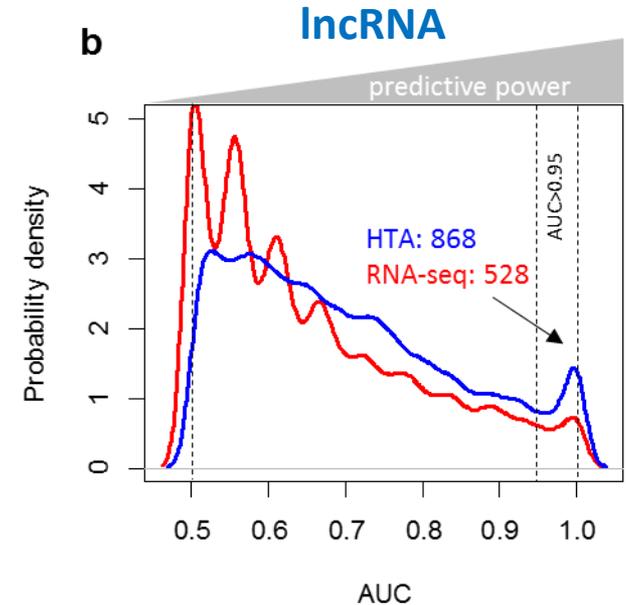
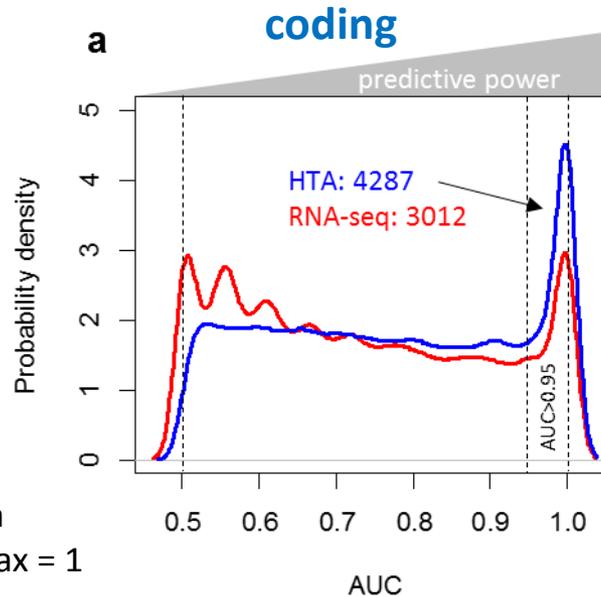
# Prediction Analysis

More predictive genes were observed with arrays

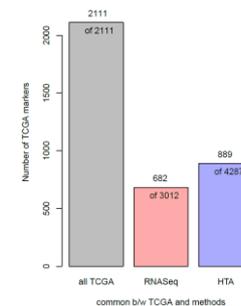
Area under ROC curve (AUC) characterizes applicability of a gene to distinguish between 2 groups of samples and, therefore, tells whether a gene can be used as a marker to predict the group.



**AUC – area**  
min 0.5, max = 1



**TCGA validation AUC > 0.95**



➤ AUC constantly shows better values for HTA data

## Biological processes (GO:BP) enriched with DE genes

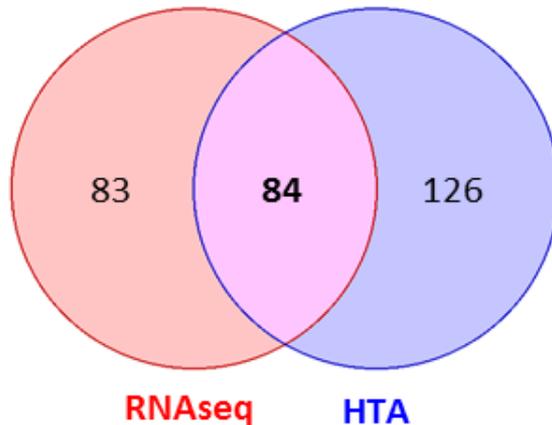
DE genes (FDR<1e-4)

Fisher-based enrichment (FDR<1e-2)

ReViGo semantic clustering

topGO package of R/Bioconductor

### biological processes



### RNAseq

- tissue development
- collagen catabolism
- extracellular matrix organization
- positive regulation of mitotic cell cycle
- cellular component movement
- developmental process
- single-organism cellular process
- single-organism process
- cell proliferation
- multicellular organismal process
- reproduction
- response to alcohol

### common

- cell cycle process
- cilium organization
- DNA metabolism
- microtubule-based movement
- microtubule-based process
- cell cycle
- cellular component organization or biogenesis
- cell division
- chromosome segregation
- regulation of cell division
- anatomical structure homeostasis
- protein localization to chromosome
- response to ionizing radiation

### HTA

- protein-DNA complex assembly
- DNA integrity checkpoint
- cellular response to DNA damage stimulus
- RNA transport
- regulation of ligase activity
- epithelial cilium movement involved in determination of left/right asymmetry
- single-organism metabolism

$-\Sigma\log(\text{FDR}) > 100$

$-\Sigma\log(\text{FDR}) > 10$

$-\Sigma\log(\text{FDR}) > 2$

- GO:BP biases are found: extracellular in RNA-seq , DNA-related in HTA
- More GO:BP in with HTA analysis

## Cellular components (GO:CC) enriched with DE genes

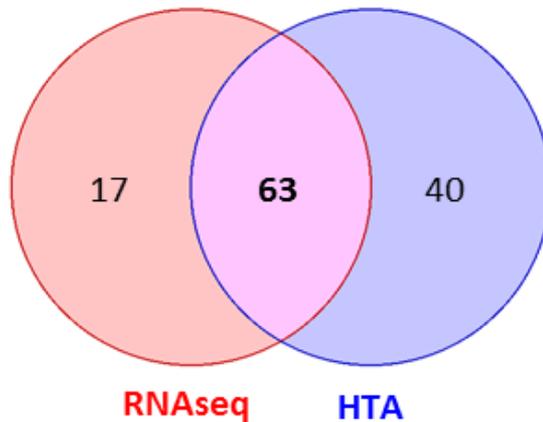
DE genes (FDR<1e-4)

Fisher-based enrichment (FDR<1e-2)

ReViGo semantic clustering

topGO package of R/Bioconductor

### cellular components



### RNAseq

- proteinaceous extracellular matrix
- extracellular region
- ciliary tip
- extracellular matrix
- cell-cell junction
- cornified envelope
- intraciliary transport particle
- chaperonin-containing T-complex
- collagen trimer
- intraciliary transport particle B

### common

- microtubule cytoskeleton
- cilium
- extracellular vesicular exosome
- non-membrane-bounded organelle
- organelle part
- membrane-enclosed lumen
- organelle lumen
- organelle
- protein complex
- cytosol
- cytoplasm
- macromolecular complex
- cell projection
- proteasome accessory complex
- vesicle
- midbody
- MCM complex
- desmosome

### HTA

- nucleoplasm
- intracellular part
- intracellular
- intracellular organelle
- membrane-bounded organelle
- DNA packaging complex
- protein-DNA complex
- DNA bending complex
- DNA polymerase complex
- cell
- cell part
- pore complex
- proteasome complex
- envelope

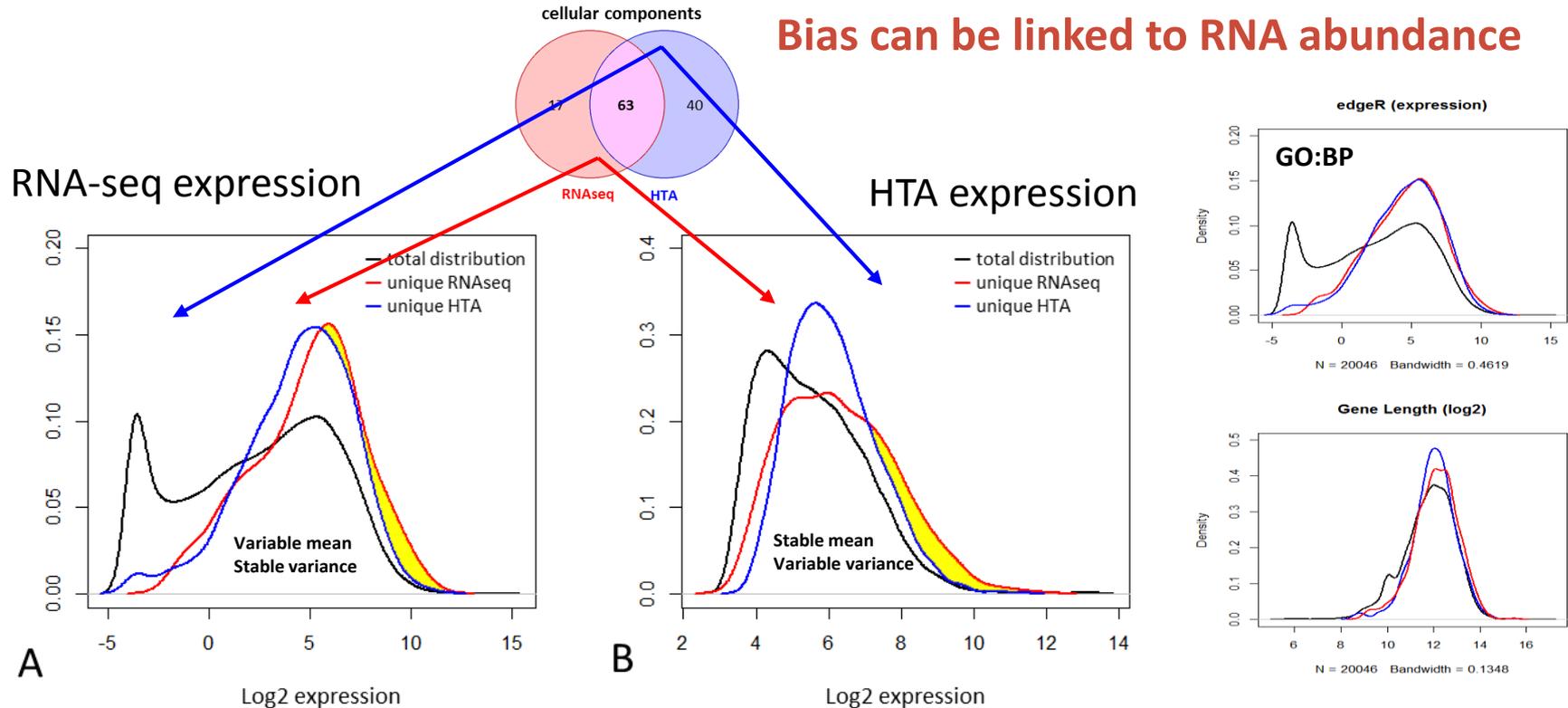
$-\Sigma \log(\text{FDR}) > 100$

$-\Sigma \log(\text{FDR}) > 10$

$-\Sigma \log(\text{FDR}) > 2$

- GO:CC biases are found: extracellular in RNA-seq , nucleus in HTA
- More GO:CC in with HTA analysis, again

## Bias can be linked to RNA abundance

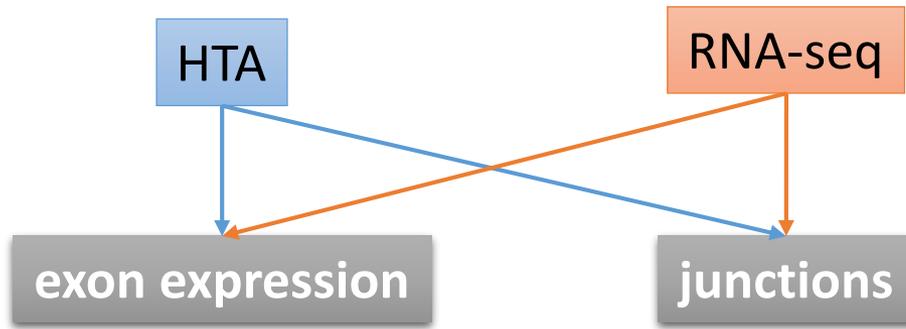


**Figure S6.** Expression of the genes related to cellular component ontologies uniquely identified by RNA-seq (red lines) and HTA (blue lines). The distributions of gene expressions are based on sequencing (A) and microarray (B) data. Both data agree, that genes participating in the functions uniquely found in RNA-seq analysis show higher expression than one of HTA analysis (yellow area).

- Abundance of the genes participation in extracellular biofunctions is higher than for nucleus-related genes.
- Small bias of the length was seen as well, but it cannot explain the expression differences: checked with *goseq* package (correcting for gene length)
- Strong bias is seen only for CC. Only minor for BP

# Analysis of Splicing Events

## Methods



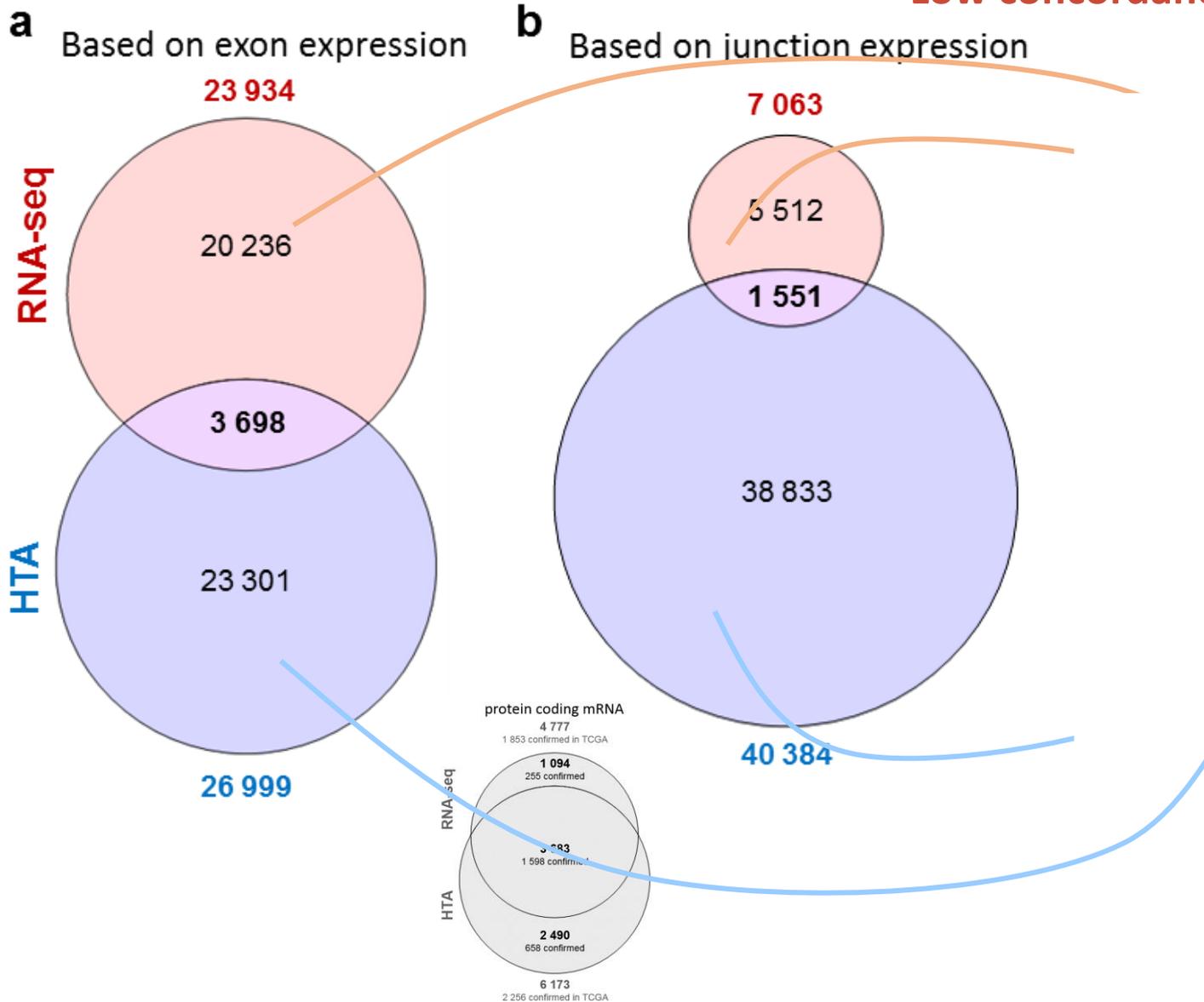
- Linear models are used
- HTA: **DiffSplice** from **limma** package
- RNA-seq: **DEXSeq**

**Challenge:** HTSeq tool does not work for exons – too many overlapped entities (correlation b/w platforms  $\approx$  **0.2**)

**Solution:** Changing counting tool to *featureCount* (*Rsubread*) improved concordance b/w HTA and RNA-seq: correlation  $\approx$  **0.6-0.7**

# Analysis of Splicing Events

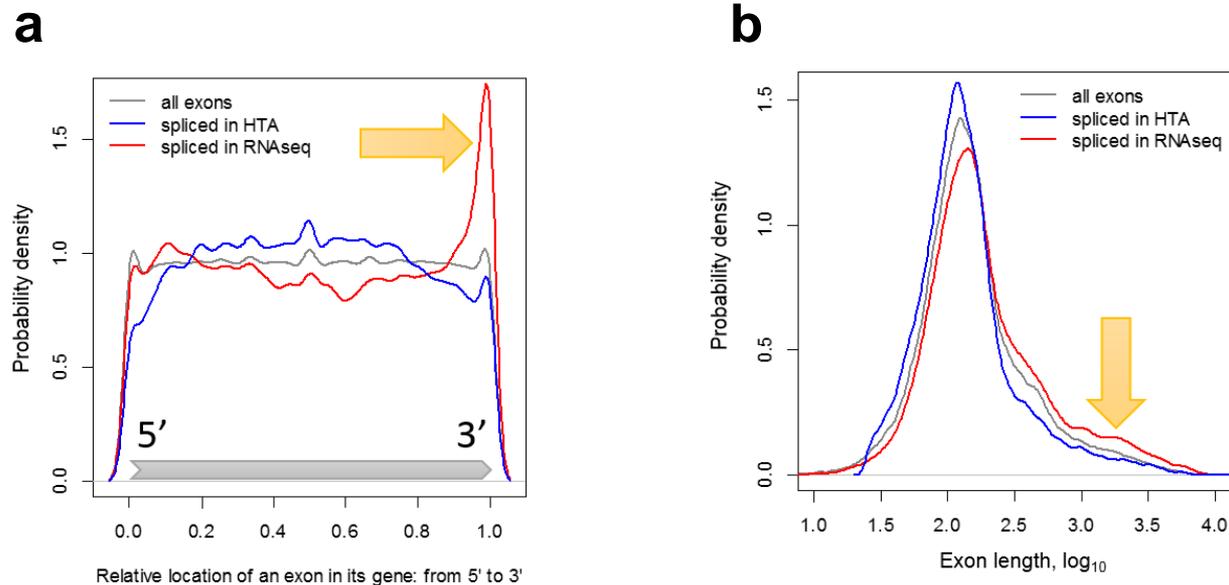
Low concordance of the results



# Analysis of Splicing Events

The 3'-exons and long exons show-up in RNA-seq

The exon parameters distribution among differentially used exons detected by the two platforms



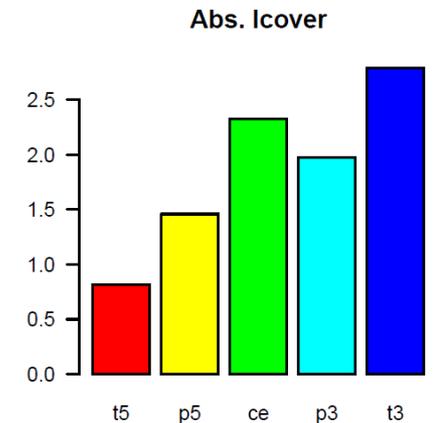
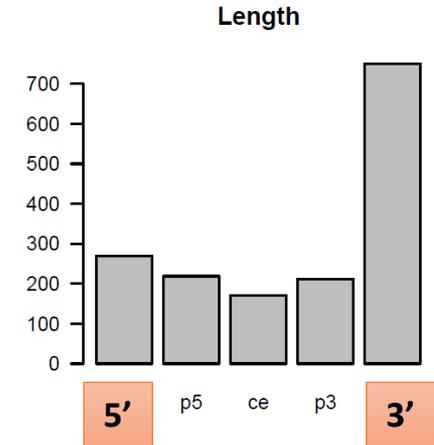
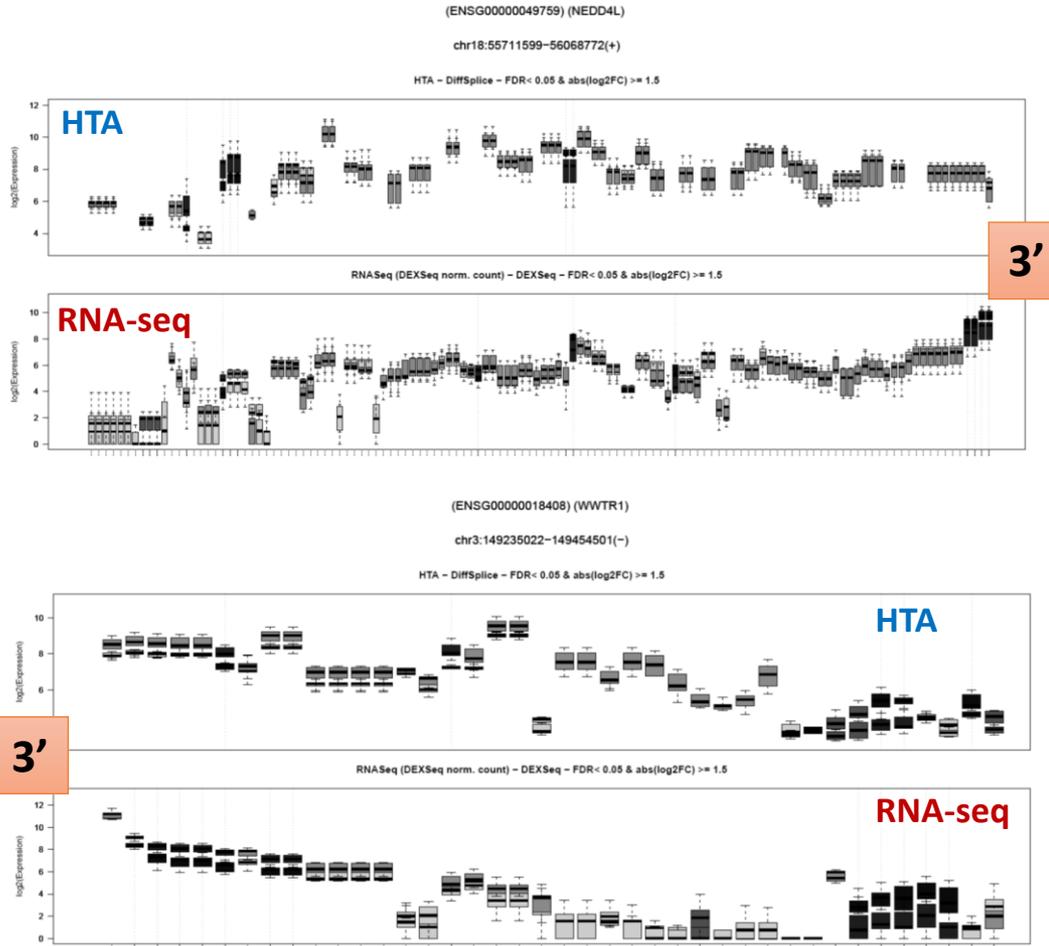
The relative position of the exons within their genes, varying from 5' end (relative position = 0) to 3' end (relative position = 1), shows a 3' bias in RNA-seq (a).

Exon length shows that RNA-seq tends to find more significantly splice events among long exons than HTA (b).

# Analysis of Splicing Events

## 3' bias or length-related bias?

The RNA-seq data show tendency to increase expression at 3'-end...



Probably 2 effects play role: the length of 3' exon and poly-A selection. The length bias cannot explain 100% of expression bias

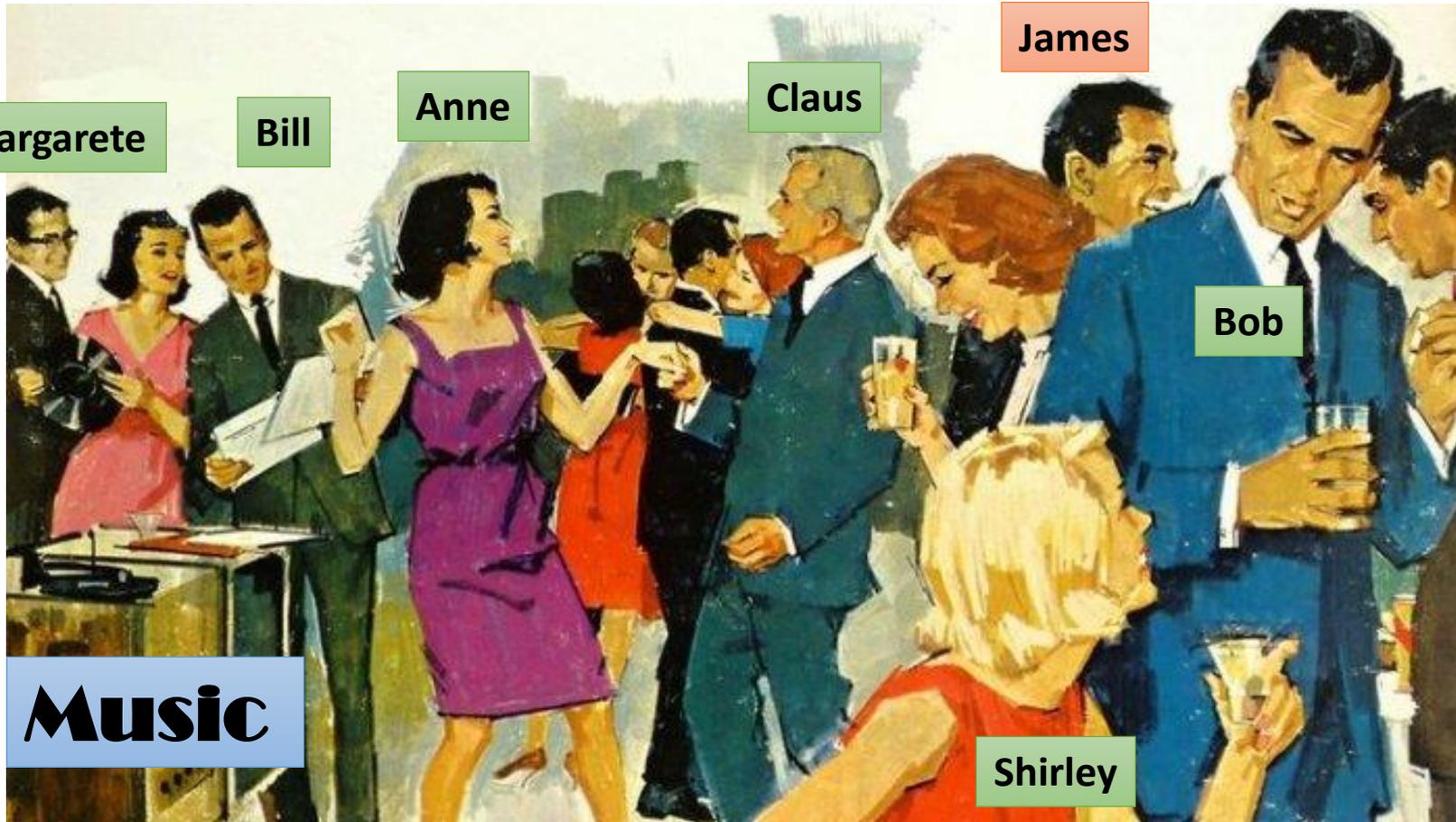
- In our study, HTA showed more reliable results than RNA-seq with 200M reads.
- Length sensitivity makes RNA-seq a difficult technique for non-coding RNA and requires high coverage.
- RNA-seq is very good as a discovery tool!
- Be careful when doing isoform study with any platform!

# Part II. Independent Component Analysis in Transcriptomics

In collaboration with  
**Dr. Anke Wienecke** and **Dr. Stephanie Kreis**,  
Life Science Research Unit, University of Luxembourg

# Introduction

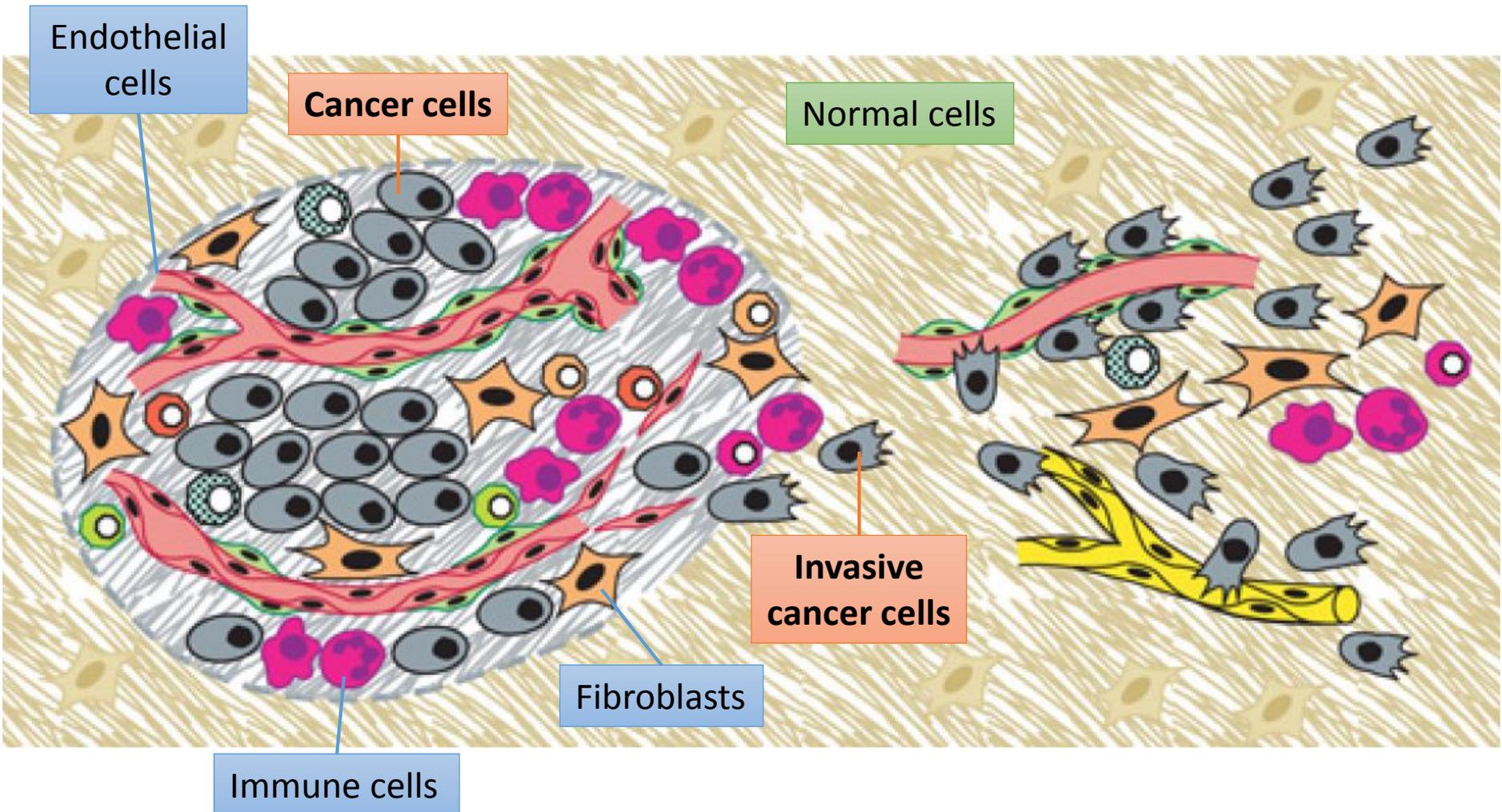
## Cocktail party problem



What did James say?..

# Introduction

Cell ensemble is as well a “cocktail party”



# Introduction

The method to solve it...



**I**ndependent  
**C**omponent  
**A**nalysis

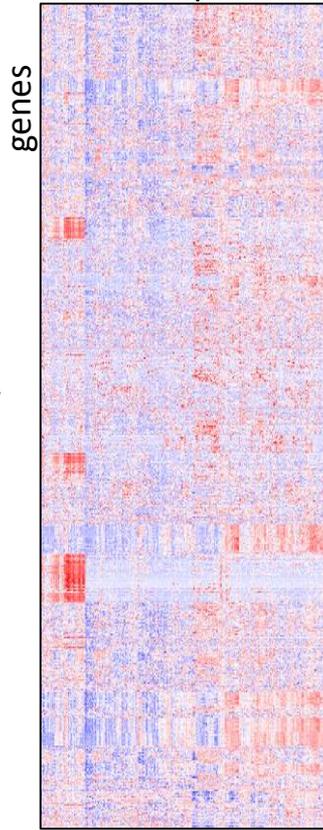


Translational  
research study:

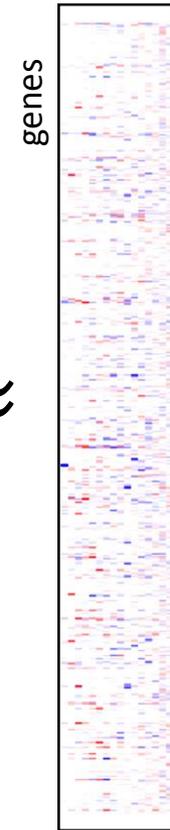


## Deconvolution of Cell Ensemble

Original data  
samples



Metagenes

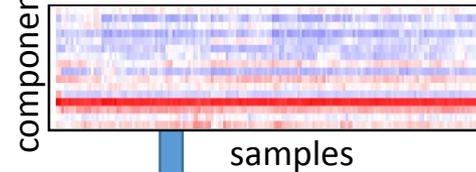


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Can be linked to  
biological processes  
and cell subpopulations

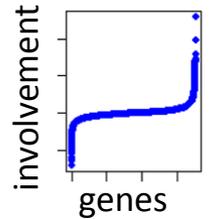
Weights of components



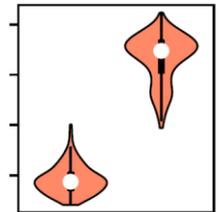
Can be linked to patient  
groups and survival

Captures & cleans  
batch/platform effect

One  
component



Components  
weights in  
patients



$$X_{gs} \approx S_{gk} \times M_{ks}$$

A. Biton et al, Cell Reports 9, 2014

A. Zinovyev et al, Biochem Biophys Res Commun. 2013

## What ICA does and does not

$$X_{gs} \approx S_{gk} \times M_{ks}$$

$g$  – genes

$s$  – samples

$k$  – components

### Pro:

1. Finds **statistically-independent signals** (components) in the expression profiles
2. Identifies the **most important genes** in each component
3. Tells what is the weight of **each component in the samples**
4. Works on data *per se*, **without any additional knowledge**
5. Gives quite **robust answer**... just... reshuffled

### Contra:

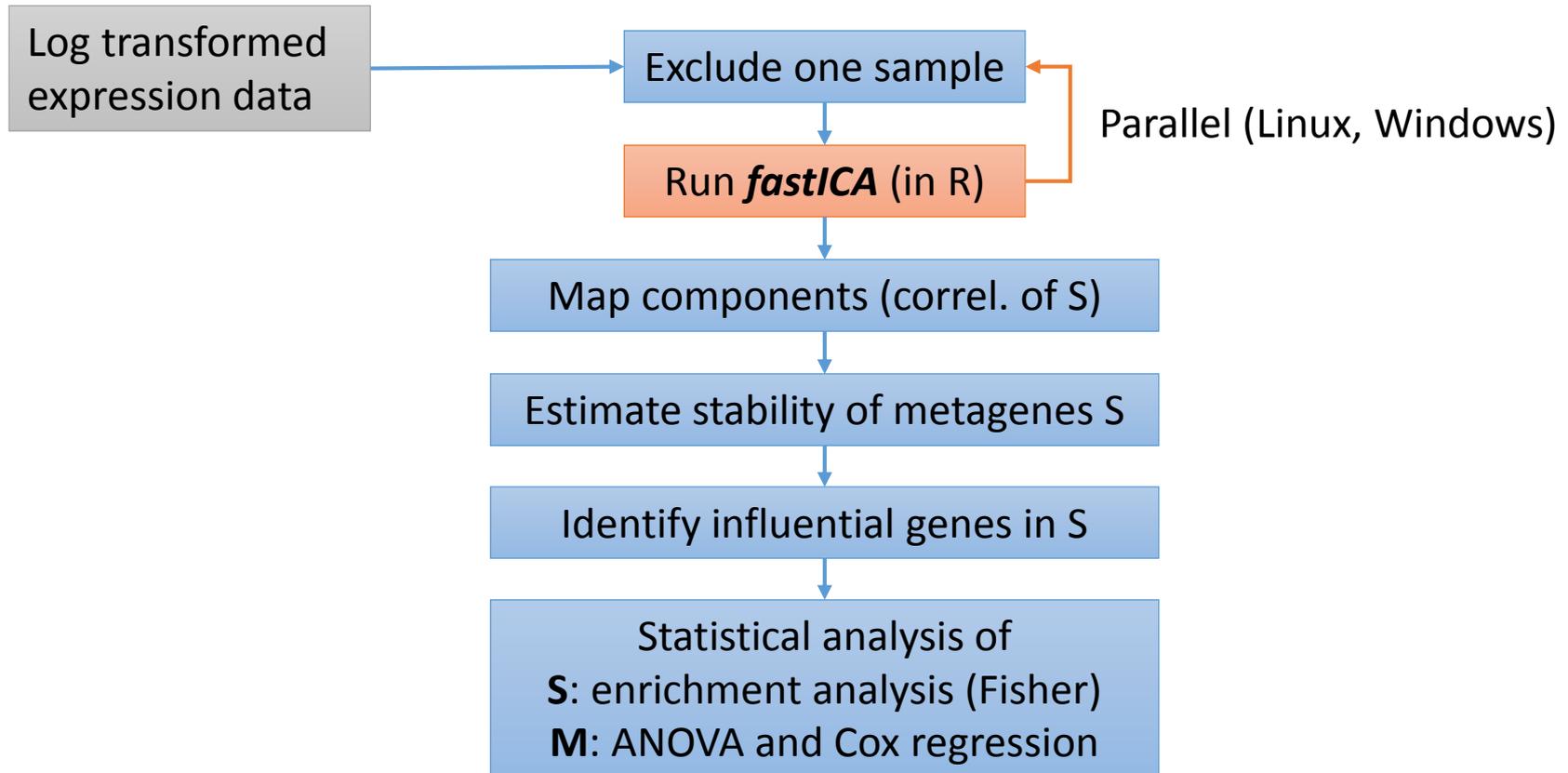
1. **No ranking of the components** by importance (not like PCA)
2. Results are **not deterministic** and can to some extent depends on the run
3. **Orientation of the signal is arbitrary** from one run to another
4. If you look for precise estimation of cell fraction – not a good idea (results are qualitative not quantitative)

## Consensus ICA

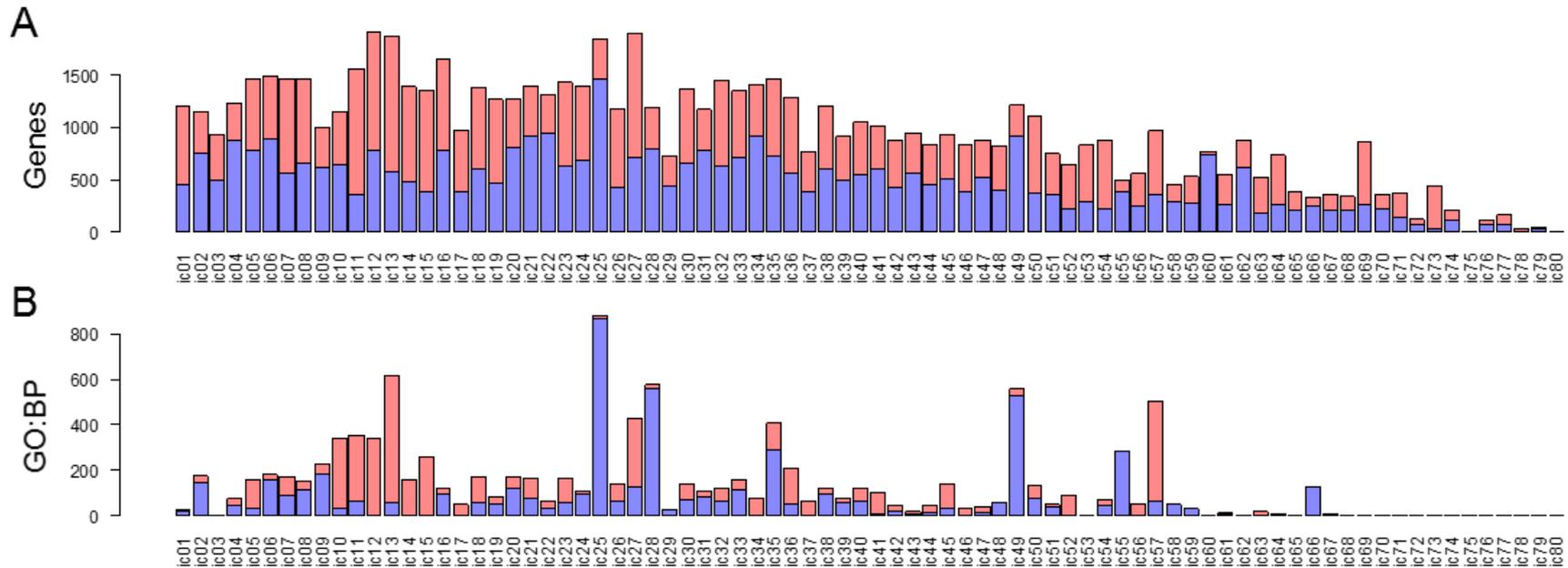
$$X_{gs} \approx \langle S_{gk} \rangle \times \langle M_{ks} \rangle$$

$g$  – genes  
 $s$  – samples  
 $k$  – components

$\langle S \rangle$ ,  $\langle M \rangle$  – mean over multiple runs, excluding random samples



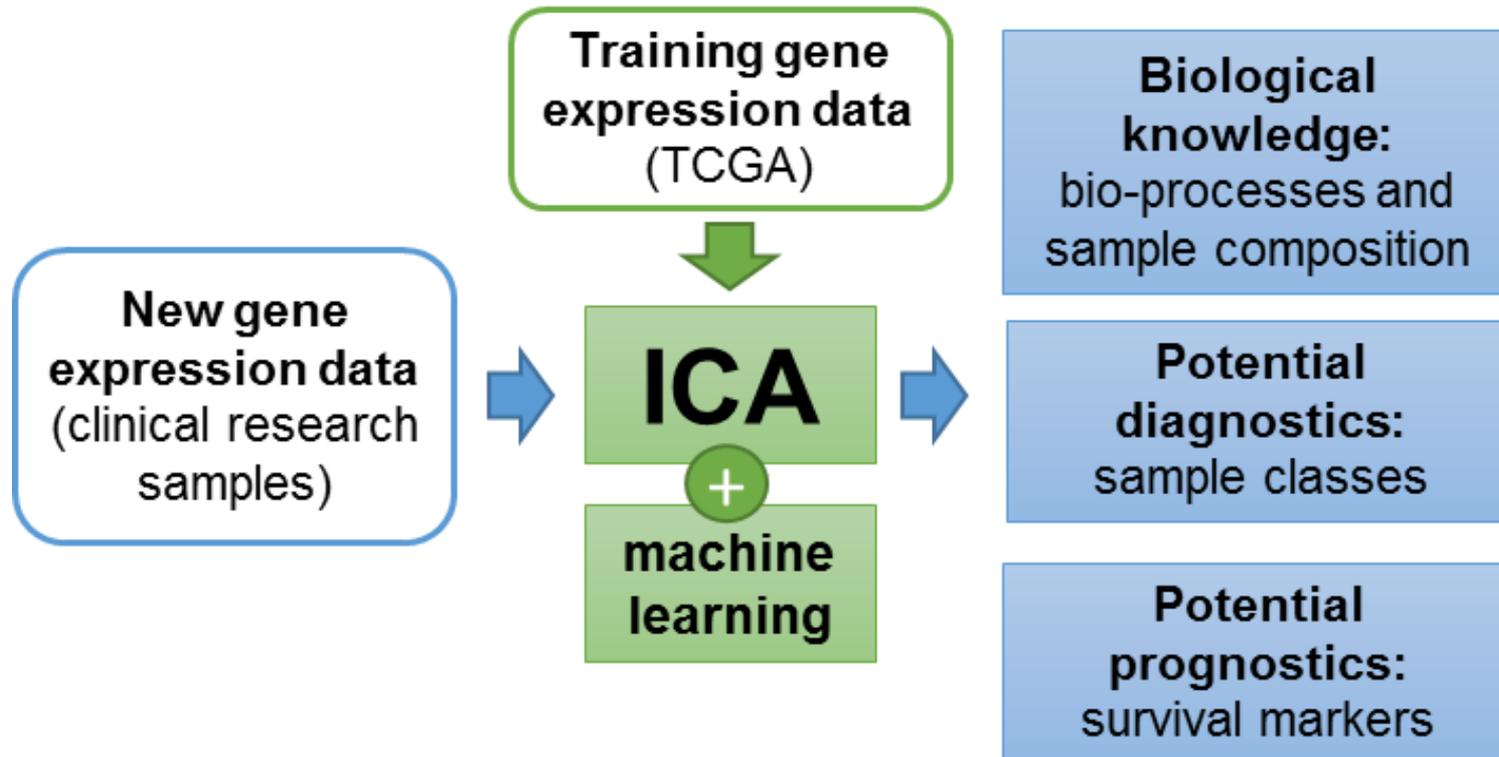
## Positive and Negative Genes within Components



**Figure S6.** (A) Number of significant positively (red) and negatively (blue) involved genes in metagenes of each of the components. (B) Number of enriched GO biological processes found for these genes. For the most cases, only one list of genes is biologically meaningful: either positive (e.g. ic10-ic15) or negative (e.g. ic25, ic28, ic49, ic55).

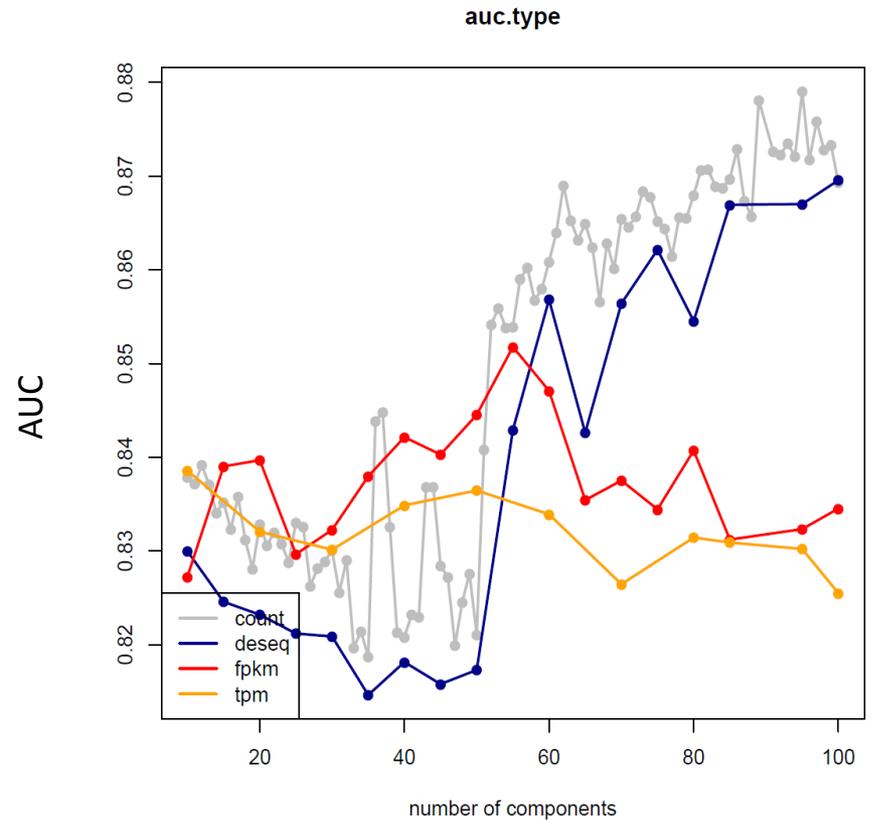
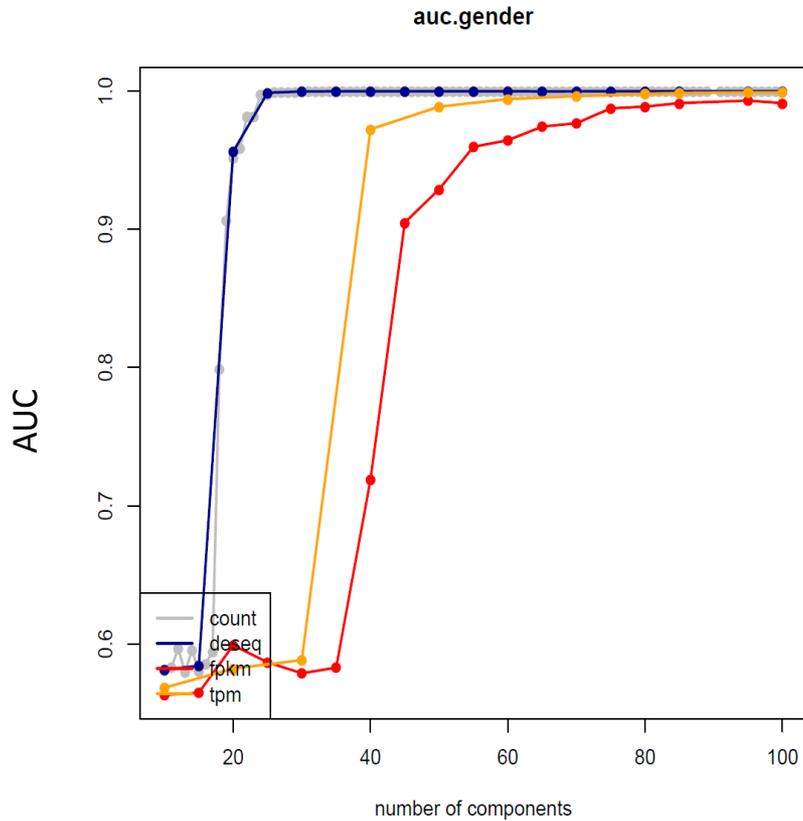
## ICA for patient classification

*clinical research study*



We use **parallel consensus ICA** that provides quite **robust estimation of the matrices** (based on fastICA package in R)

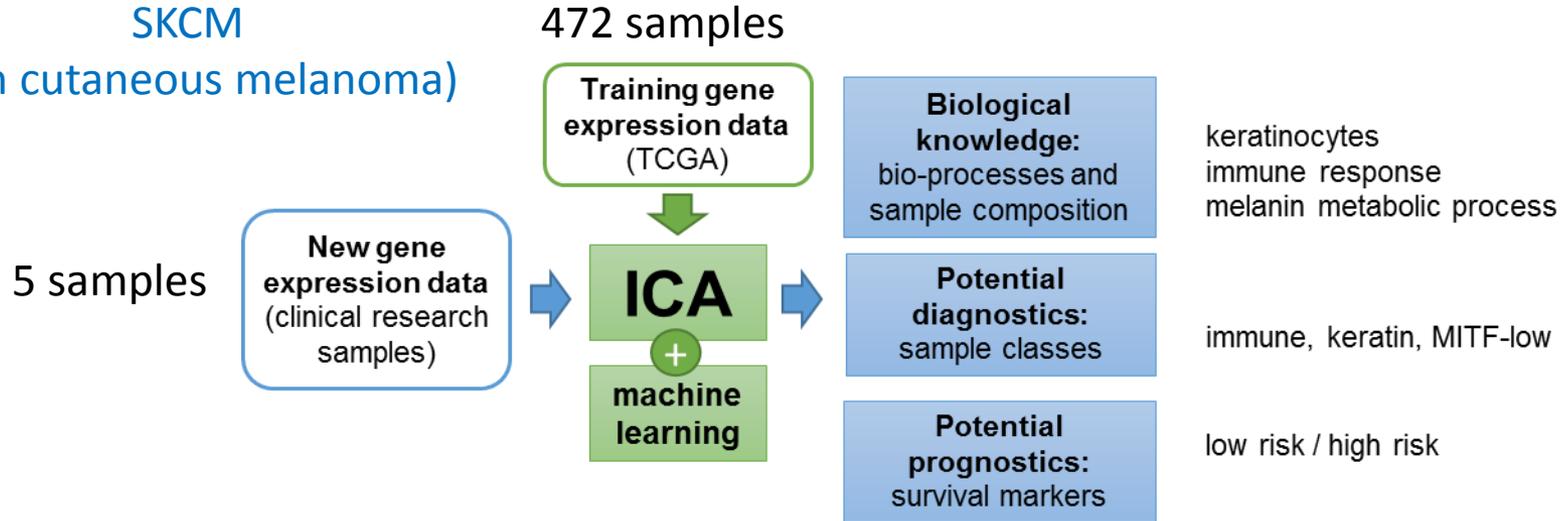
## Optimal measure for RNA-seq



Raw count  
 DESeq norm  
 FPKM  
 TPM

## Patient classification in SKCM

SKCM  
(skin cutaneous melanoma)



- SVM & RF work both fine when  $n_{\text{comp}}$  is small
- For large  $n_{\text{comp}}$  – RF gives much better predictions (SVM is overtrained)

Gender		
Accuracy	Actual gender	
99.6%	female	male
female	177	0
male	2	293

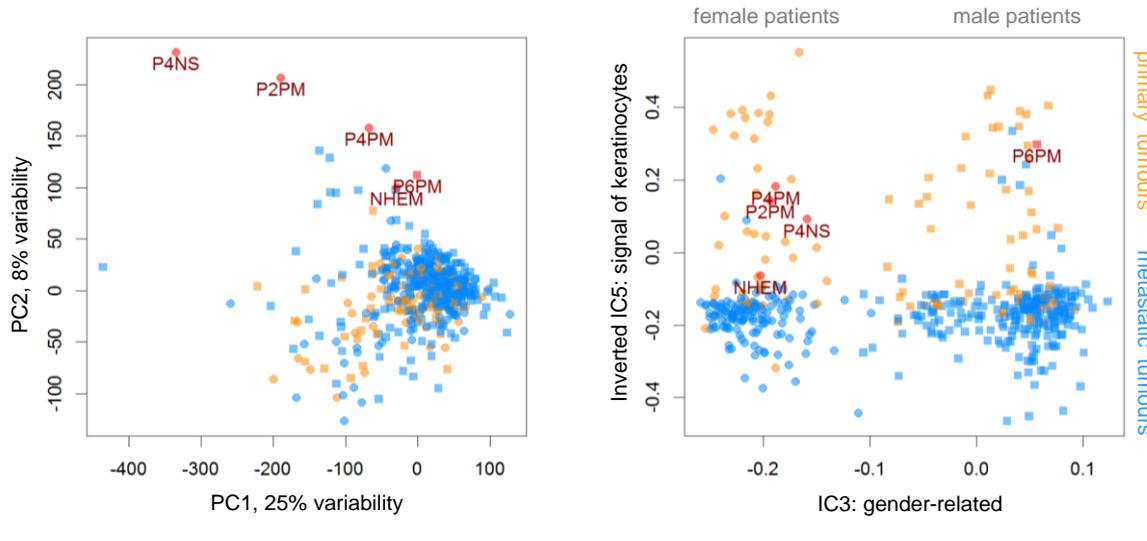
Type		
Accuracy	Actual sample type	
78.9%	metastatic	primary
metastatic	177	54
primary	7	51

Cluster			
Accuracy	Actual cluster		
90.0%	immune	keratine	MITF-low
immune	160	9	6
keratine	9	91	6
MITF-low	1	2	47

Here accuracy was estimated using LOOCV

## New samples: mRNA and miRNA

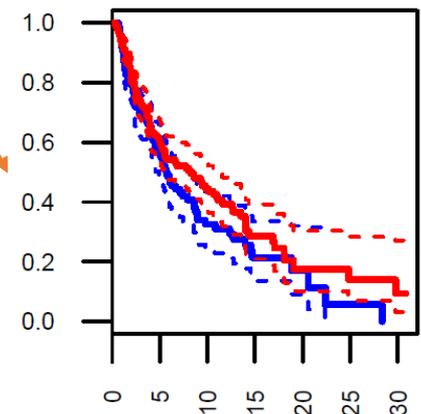
### mRNA level: RNA-seq + RNA-seq



Gender: ● female, ■ male

Sample type: ● primary tumour  
 ● metastatic  
 ● new samples

$\log_{10} p\text{-value} = 5.8e-03$   
 $LHR = -1.54$  (CI = -2.56, -0.52)



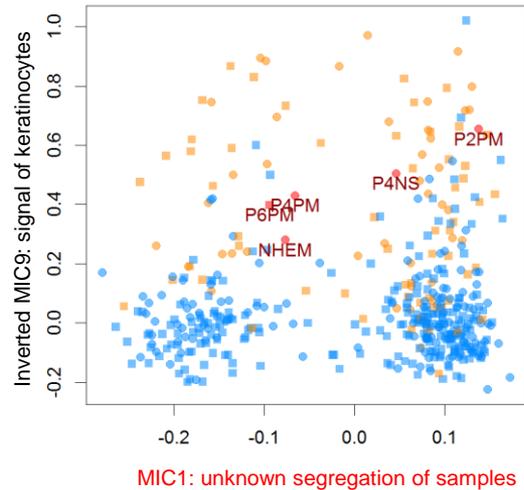
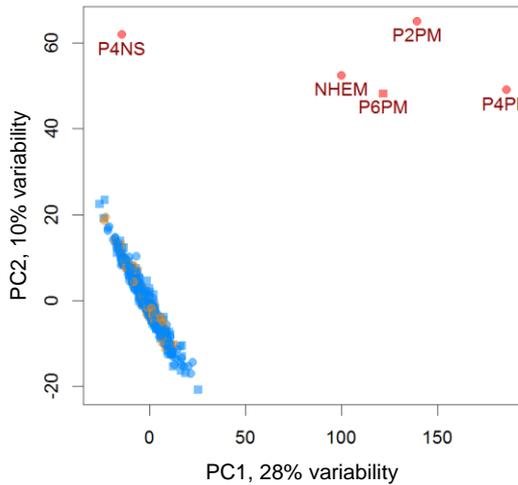
When ICA is run over new samples and training samples together, it corrects for platform bias.

## New samples: mRNA and miRNA

Gender: ● female, ■ male

Sample type: ● primary tumour  
 ■ metastatic  
 ■ new samples

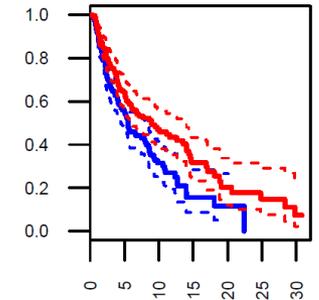
### miRNA level: RNA-seq + qPCR



miR-146a-3p  
 miR-338-5p  
 miR-551b-3p  
 miR-598-3p  
 miR-206  
 miR-34a-5p  
 miR-338-3p  
 miR-146a-5p  
 miR-1269a  
 miR-573

miR-205-5p  
 miR-199b-5p  
 miR-876-5p  
 miR-1266-5p  
 miR-301b-3p  
 miR-3690  
 miR-365a-3p  
 miR-125b-1-3p

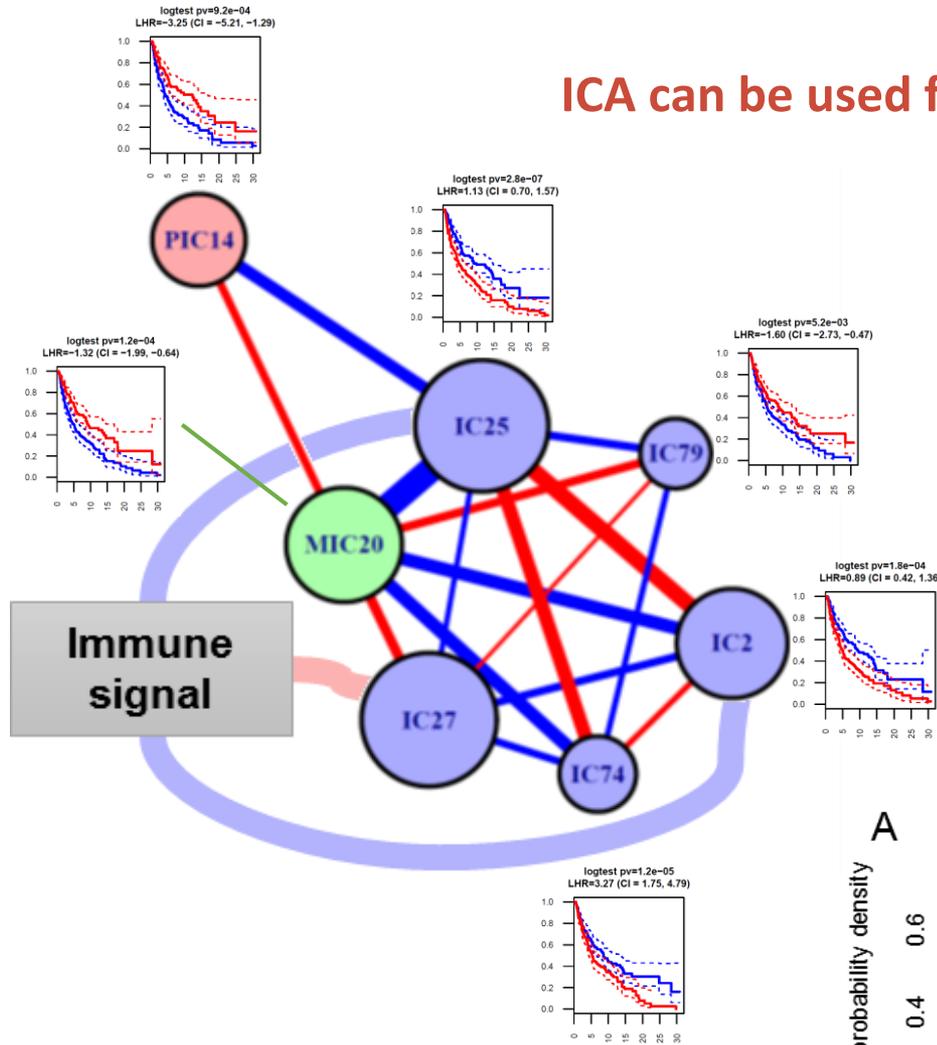
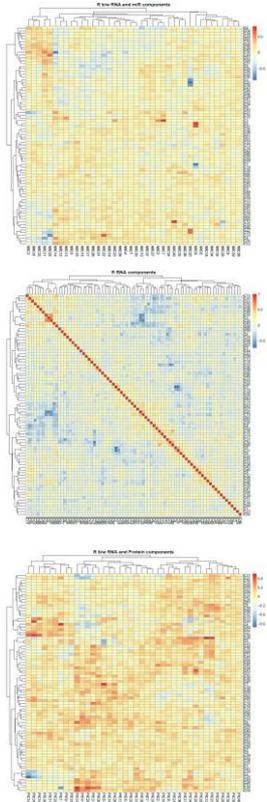
logtest pv=9.4e-04  
 LHR=-1.79 (CI = -2.82, -0.75)



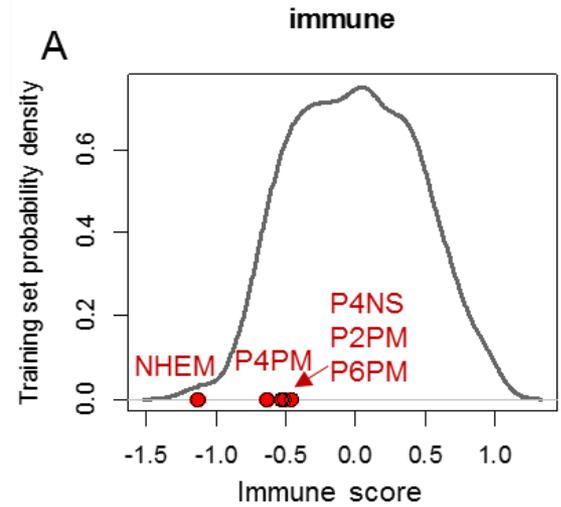
When ICA is run over new samples and training samples together, it corrects for platform bias.

ICA can be used for data integration

Correlation of weights:  
mRNA-miRNA-Proteins



$$score_j = \sum_{i=1}^k d_i R_i^2 M_{i,j}^*$$

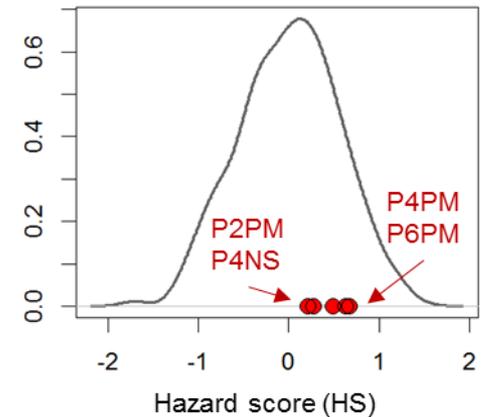
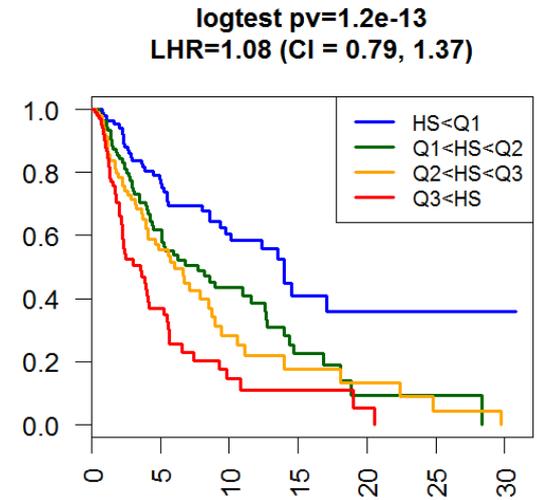
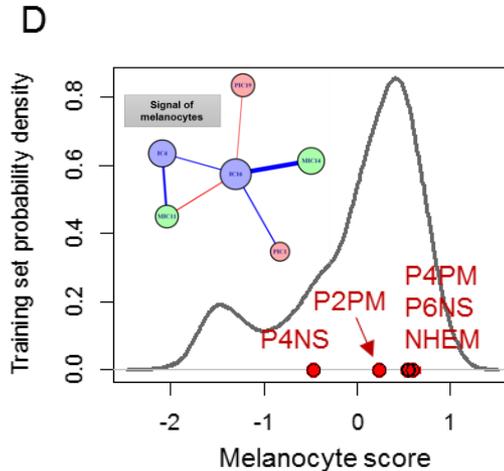
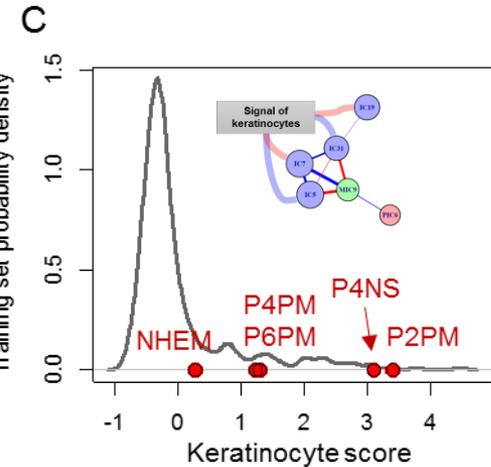
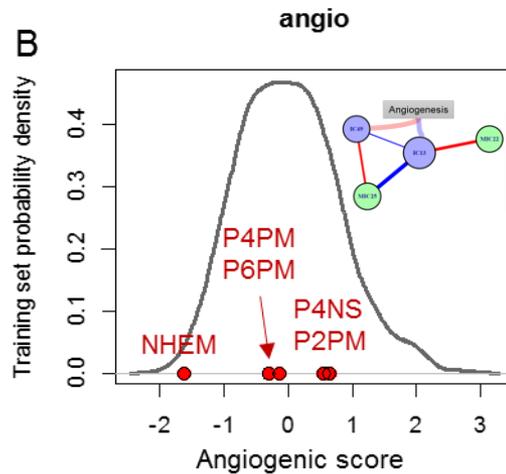
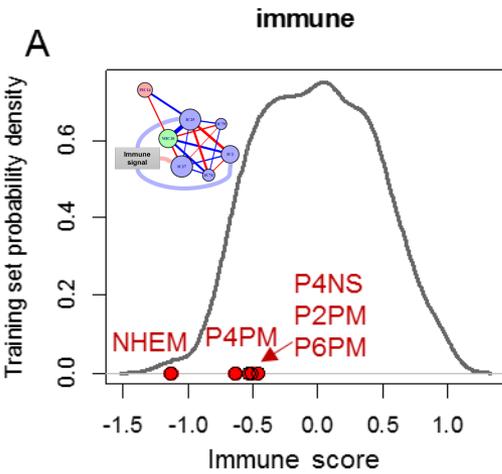


## ICA helps establishing scores for new samples

$$score_j = \sum_{i=1}^k d_i R_i^2 M_{i,j}^*$$

$d_i$  – direction of the component (pos/neg)  
 $H_i$  – log-hazard of Cox regression  
 $R_i^2$  – stability of the  $i$ -th component  
 $M_{i,j}^*$  – weight of  $i$ -th component in sample  $j$

$$hscore_j = \sum_{i=1}^k H_i R_i^2 M_{i,j}^*$$



- We tested our implementation of **consensus ICA**  
(before publication, the script is available upon request)
- ICA decomposes large bulk data set into **meaningful signals**
- **New samples** are properly mapped **in IC-space**
- The method allows **classifying and scoring new patients**  
(clinical research studies)

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